

**HANDOUT # 4**  
**May 2018 Annual Education**

**How States or Individual Healthcare Providers Can Ask for Assistance or a Waiver:**

Once the 1135 waiver's authority is invoked, health care providers can submit requests to operate under that authority or for other relief that may be possible outside the authority to either the State Survey Agency or CMS Regional Office. Requests can be made by sending an email to the CMS Regional Office in their service area, or by calling the State or CMS Regional Office. The requesting entity should furnish all pertinent facts concerning its particular situation and how it would operate under the particular waiver it is seeking. Alternate care sites will be considered as identified by local Emergency Management officials. The facility will serve as an alternate care site if deemed necessary and as outlined in a Section 1135 waiver when issued.

Reference: CMS FAQ 9/14/17: <https://www.cms.gov/About-CMS/Agency-Information/Emergency/downloads/MedicareFFS-EmergencyQsAs1135Waiver.pdf>

*To assist the Long Term Care Community interpret the 1135 waiver process an appendix is in the process of being finalized by Russell Phillips & Associates and will be posted on the LTC-MAP website under 2018 Annual Education Material within 30 days.*